

07/24/08

15/18



Docket No.: 0765-005US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Craig A. Judy et al.

EXAMINER: Purdy, Kyle A.

SERIAL NO: 10/598,112

ART UNIT: 1611

FILED: March 5, 2007

TITLE: Compression-coated tablets and manufacture thereof

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant hereby makes of record:

- a) the documents listed on the attached modified Form PTO-1449 (in duplicate); and
- b) a communication from a foreign patent office in a counterpart foreign application, i.e.,  
--GB National Search Report dated June 11, 2004, for application no. GB0403628.1.--

This information disclosure statement is being filed:

- ☐ within three months of the filing date of the national application; or
- ☐ within three months of the filing date of the entry of the national stage, as set forth in 37 C.F.R. §1.491, in an international application; or
- ☐ before the mailing date of a first Office Action on the merits in the above-identified case; or
- ☐ before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114; or
- ☒ before the mailing date of any of a final Office action, a notice of allowance, or an action that otherwise closes prosecution, and is accompanied by:
  - ☐ a statement pursuant to 37 CFR §1.97(e); OR
  - ☒ the fee pursuant to 37 CFR 1.17(p) and the enclosed Fee Transmittal Form; or

"Express Mail" Tracking No.: EV644013239US

Date of Deposit: July 22, 2008

I hereby certify that this is being deposited with the Express Mail Post Office to Addressee service under 37 CFR 1.10 on the date indicated above, addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450/Alexandria, VA 22313-1450.

By: Karen A. Herrand  
Karen A. Herrand

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180.00 DA

- ☐ before payment of the issue fee, and is accompanied by:
  - ☐ a statement pursuant to 37 CFR §1.97(e); AND
  - ☐ the fee pursuant to 37 CFR 1.17(p) and the enclosed Fee Transmittal Form.

Statement Under 37 CFR 1.97(e)

- ☐ The documents listed on the attached Form PTO-1449 were first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. A copy of the communication issued by the foreign patent office is enclosed herewith.
- ☐ No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

By submitting the Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist, nor that the information cited in the Statement is considered to be material to patentability as defined in 37 C.F.R. §1.56(b), nor that the information cited in the Statement is prior art as defined by 35 U.S.C. §102. The order of presentation of references is not to be construed as indicative of the importance of the references.

Please charge any additional fees that may be due, or credit any overpayment, to Deposit Account No. 50-1895, Reference No.0765-005US1.

Respectfully submitted,



Date: July 22, 2008

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Leslie Meyer-Leon, Esq.  
Reg. No. 37,381

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P.O. Box 1210  
Centerville, MA 02632-1210  
Tel: 508-790-9299; Fax: 508-790-1955

0765-005US1/32731.doc



PTO/SB/21 (01-08)  
Approved for use through 07/31/2008, OMB 0651-0031  
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/598,112
	Filing Date	March 5, 2007
	First Named Inventor	Craig A. Judy et al.
	Art Unit	1611
	Examiner Name	Purdy, Kyle A.
	Attorney Docket Number	0765-005US1
Total Number of Pages in This Submission		84

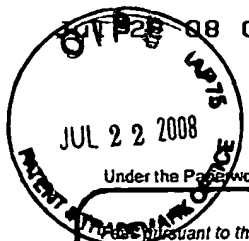
ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Postcard; Reference copies (3)
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	IP Legal Strategies Group P.C.		
Signature	<i>Leslie Meyer-Leon</i>		
Printed name	Leslie Meyer-Leon, Esq.		
Date	7-22-08	Reg. No.	37,381

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being <del>transmitted</del> transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as <del>first class</del> first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA-22313-1450 on the date shown below: <b>EXPRESS MAIL</b>			
Signature	<i>Karen A. Herrand</i> Express Mail Tracking No. EV644013239US		
Typed or printed name	Karen A. Herrand	Date	July 22 2008

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



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Effective on 12/09/2004.

As required by the Consolidated Appropriations Act, 2005 (H.R. 4818).

**FEE TRANSMITTAL**  
**For FY 2008**☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 180**Complete if Known**

Application Number	10/598,112
Filing Date	March 5, 2007
First Named Inventor	Craig A. Judy et al.
Examiner Name	Purdy, Kyle A.
Art Unit	1611
Attorney Docket No.	0765-005US1

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 50-1895 Deposit Account Name: IP Legal Strategies Group

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	310	155	510	255	210	105	
Design	210	105	100	50	130	65	
Plant	210	105	310	155	160	80	
Reissue	310	155	510	255	620	310	
Provisional	210	105	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	210	105
Multiple dependent claims	370	185

<b>Total Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>
_____ - 20 or HP = _____	x _____	= _____	

HP = highest number of total claims paid for, if greater than 20.

<b>Indep. Claims</b>	<b>Extra Claims</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>
_____ - 3 or HP = _____	x _____	= _____	

HP = highest number of independent claims paid for, if greater than 3.

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

<b>Total Sheets</b>	<b>Extra Sheets</b>	<b>Number of each additional 50 or fraction thereof</b>	<b>Fee (\$)</b>	<b>Fee Paid (\$)</b>
_____ - 100 = _____	/ 50 = _____	(round up to a whole number) x _____	= _____	

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Under 37 CFR 1.17(p)**Fees Paid (\$)**

180

**SUBMITTED BY**

Signature	<u>Leslie Meyer-Leon</u>	Registration No. (Attorney/Agent) 37,381	Telephone 508 790 9299
Name (Print/Type)	Leslie Meyer-Leon, Esq.		Date <u>7-22-08</u>

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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JUL 22 2008

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PTO/SB/17 (10-07)

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Effective on 12/08/2004.  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4813).**FEE TRANSMITTAL**  
For FY 2008☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180

## Complete if Known

Application Number	10/598,112
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Examiner Name	Purdy, Kyle A.
Art Unit	1611
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<u>Total Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>
<u>Fee Paid (\$)</u>		
<u>Multiple Dependent Claims</u>		
<u>Fee (\$)</u>	<u>Fee Paid (\$)</u>	
<u>Indep. Claims</u>	<u>Extra Claims</u>	<u>Fee (\$)</u>
<u>Fee Paid (\$)</u>		
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Name (Print/Type)	Leslie Meyer-Leon, Esq.	Date	7-22-08		

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By: Karen A. Herrand

Karen A. Herrand

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Leslie Meyer-Leon, Esq.  
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